

U.S. PATENT AND TRADEMARK OFFICE

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Page 1 of 1
C-GD
2000-0515 A
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/722,646	11/28/2000	Alan H. Gnauck	3493.00152

22907
BANNER & WITCOFF
1001 G STREET N W
SUITE 1100
WASHINGTON, DC 20001

RECEIVED

APR 02 2001

FORMALITIES LETTER



OC000000005910873

BANNER & WITCOFF LTD.

Date Mailed: 03/28/2001

DUE: 5/28/01

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. *Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$242.
 - \$162 for 9 total claims over 20.
 - \$80 for 1 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1082.

A copy of this notice MUST be returned with the reply.

AT&T PATENT	
Customer Service Center	
Initial Patent Examination Division (703) 308-1202	
PART 1 - ATTORNEY/APPLICANT COPY	
DOCKETED BY	Walter
DIRECT MANAGER	
NOT DOCKETED	
PREVIOUSLY DOCKETED	
PREVIOUSLY ABANDONED	

06/20/2001 MYUSUF1 00000056 012745 09722646
01 FC:101 710.00 CH
02 FC:103 162.00 CH
03 FC:102 80.00 CH
04 FC:105 130.00 CH

03493.00152
DOCKETED
notice of missing

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parts due:

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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Applicant(s): Alex H. Gnauck et al.

Attorney Docket No.: 2000-0515A

Application No.: 09/722646

Filing Date: 11/28/2000

Examiner Name:

Group Art Unit:

Title: Diversity Receiver For Mitigating The Effects Of Fiber Dispersion By Separate Detection Of The Two Transmitted Sidebands

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231
Box: Missing Parts

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

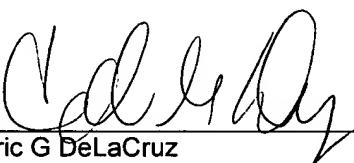
Enclosed is the Declaration and Power of Attorney relating to the above-identified application.

Please charge **AT&T Corp. Deposit Account No. 01-2745** in the amount of \$130.00 to cover the filing fee surcharge. A duplicate copy of this letter is enclosed. In the event of any non-payment or improper payment of a required fee, the Assistant Commissioner is authorized to charge or to credit **AT&T Corp. Deposit Account No. 01-2745** as required to correct the error.

A copy of The Notice to File Missing Parts of Nonprovisonal Application is also enclosed.

Date: 06/14/2001

By:


Cedric G DeLaCruz
Attorney for Applicant(s)
Reg. No.: 36498
T: 908-221-5430
F: 732-368-6932
AT&T CORP.
P.O. Box 4110
Middletown, New Jersey 07748-4110

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

8 2001
U.S. PATENT & TRADEMARK OFFICE

		Application Number	09/722646
		Filing Date	11/28/2000
		First Named Inventor	Alan H. Gnauck et al.
		Group Art Unit	
		Examiner Name	
Total Number of Pages in this Submission		Attorney Docket Number	2000-0515A

Enclosures (check all that apply)

- Fee Transmittal Form
 Fee Attached
 Amendment / Response
 After Final
 Affidavits / Declaration(s)
 Petition for Extension of Time Request
 Express Abandonment Request
 Information Disclosure Statement
 Certified Copy of Priority Document(s)
 Response to Missing Parts under 37 CFR 1.52 or 1.53
 Copy of Notice to file Missing Parts of Nonprovisional Application
 Signed Declaration

- Assignment & Recordation Cover Sheet
 Drawing(s) & Letter to Official Draftsman
 Licensing-related Papers
 Petition Routing Slip (PTO/SB/69) and Accompanying Petition
 Petition to Convert a Provisional Application
 Power of Attorney, Revocation Change of Correspondence Address
 Terminal Disclaimer
 Request for Refund

- After Allowance Communication to Group
 Appeal Communications to Board of Appeals and Interferences
 Appeal Communications to Group (Appeal Notice, Brief, Reply Brief)
 Proprietary Information
 Status Letter
 Return Receipt Postcard
 Additional enclosure(s) (please identify below)

Remarks**CORRESPONDENCE ADDRESS** Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or Correspondence address below

NAME	Samuel H. Dworetzky			
ADDRESS	AT&T CORP. P.O. Box 4110			
CITY	Middletown	STATE	New Jersey	ZIP CODE
COUNTRY	United States of America			

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Cedric G DeLaCruz	Reg. #	36498
TELEPHONE	908-221-5430		
SIGNATURE	<i>Cedric G DeLaCruz</i>		DATE

CERTIFICATE OF MAILING

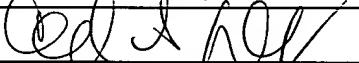
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 06/14/2001

Type or Printed Name	Shawn McGee
Signature	<i>Shawn McGee</i>
Date	06/14/2001

SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231

FEE TRANSMITTAL Patent Fees are subject to annual review.		Complete Known	
		Application Number	09/722646
		Filing Date	11/28/2000
		First Named Inventor	Alan H. Gnauck et al.
		Examiner Name	
		Group/Art Unit	
		Attorney Docket No.	2000-0515A

TOTAL AMOUNT OF PAYMENT		\$1,122	METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																	
<p>1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number 01-2745 Deposit Account Name AT&T CORP.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing Date of the Notice of Allowance</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee(\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>Surcharge - late filing fee or oath</td> <td>130</td> </tr> <tr> <td>127</td> <td>50</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2520</td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>112*</td> <td>920</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113*</td> <td>1840</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>390</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>890</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1390</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1890</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>310</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>310</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>270</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>Petition to revive – unavoidable</td> <td></td> </tr> <tr> <td>141</td> <td>1240</td> <td>Petition to revive – unintentional</td> <td></td> </tr> <tr> <td>142</td> <td>1240</td> <td>Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>143</td> <td>440</td> <td>Design issue fee</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123</td> <td>50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>126</td> <td>240</td> <td>Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>581</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td>40</td> </tr> <tr> <td>146</td> <td>710</td> <td>Filing a submission after final rejection(37 CFR 1.129(a))</td> <td></td> </tr> <tr> <td>149</td> <td>710</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> <tr> <td colspan="2">Other fee (specify)</td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td colspan="2">Other fee (specify)</td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td colspan="2">* Reduced by Basic Filing Fee Paid</td> <td colspan="2"></td> <td colspan="2">SUBTOTAL(3) 170</td> </tr> <tr> <td colspan="4">SUBTOTAL (2) 242</td> <td colspan="2">SUBTOTAL(3) 170</td> </tr> </tbody></table>			Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid	105	130	Surcharge - late filing fee or oath	130	127	50	Surcharge - late provisional filing fee or cover sheet		139	130	Non-English specification		147	2520	For filing a request for reexamination		112*	920	Requesting publication of SIR prior to Examiner action		113*	1840	Requesting publication of SIR after Examiner action		115	110	Extension for reply within first month		116	390	Extension for reply within second month		117	890	Extension for reply within third month		118	1390	Extension for reply within fourth month		128	1890	Extension for reply within fifth month		119	310	Notice of Appeal		120	310	Filing a brief in support of an appeal		121	270	Request for oral hearing		138	1510	Petition to institute a public use proceeding		140	110	Petition to revive – unavoidable		141	1240	Petition to revive – unintentional		142	1240	Utility issue fee (or reissue)		143	440	Design issue fee		122	130	Petitions to the Commissioner		123	50	Petitions related to provisional applications		126	240	Submission of Information Disclosure Statement		581	40	Recording each patent assignment per property (times number of properties)	40	146	710	Filing a submission after final rejection(37 CFR 1.129(a))		149	710	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify)						Other fee (specify)						* Reduced by Basic Filing Fee Paid				SUBTOTAL(3) 170		SUBTOTAL (2) 242				SUBTOTAL(3) 170	
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SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	Cedric G DeLaCruz				Reg. Number 36498
Signature			Date 06/14/2001	Deposit Account User ID	